

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : A23K 1/00, 1/16, C12N 9/98	A1	(11) International Publication Number: WO 00/47060 (43) International Publication Date: 17 August 2000 (17.08.00)
(21) International Application Number: PCT/EP00/01154 (22) International Filing Date: 10 February 2000 (10.02.00) (30) Priority Data: 99200435.8 10 February 1999 (10.02.99) EP 99201523.0 12 May 1999 (12.05.99) EP (71) Applicant (for all designated States except US): DSM N.V. [NL/NL]; Het Overloon 1, NL-6411 TE Heerlen (NL). (72) Inventors; and (75) Inventors/Applicants (for US only): ANDELA, Carl, Sidonius, Maria [NL/NL]; Grabijnhof 7, NL-2625 LL Delft (NL). KLEIN HOLKENBORG, Augustinus, Bernardus, Maria [NL/NL]; Zomerpad 15, NL-2614 WC Delft (NL). (74) Agent: VAN HEUVEL, Margaretha; DSM N.V., DSM Patents & Trademarks, Office Delft (994-0760), P.O. Box 1, NL-2600 MA Delft (NL).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: GRANULATES CONTAINING FEED-ENZYMES (57) Abstract A process for the preparation of an enzyme-containing granulate is disclosed where an aqueous enzyme-containing liquid is mixed with a solid carrier and optionally additive ingredients and is mechanically processed into granules, dried and subsequently coated with polyethylene glycol. The solid carrier preferably essentially consists of an edible carbohydrate polymer. Additive ingredients that could be further incorporated in the formulation of the granules are a gel-forming or slow dissolving compound such as polyvinyl alcohol, water-soluble inorganic salts comprising divalent cations and trehalose. This enzyme granulate is suitable for the manufacture of animal feed compositions by mixing feed ingredients with the granulate, treating with steam and pelleting. The compositions show improved enzyme stability during the pelleting process and during storage. At the same time, the dissolution time of the granule is very short so that the bioavailability of the enzyme to the animal is improved.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

GRANULATES CONTAINING FEED-ENZYMES

5

Field of the Invention

The present invention relates to the formulation of enzymes, preferably feed-enzymes, into granulates. These (edible) granulates can then be used in animal feed.

10

Background of the Invention

Animal feed represents one of the largest costs incurred in keeping livestock and other animals. The use of various enzymes in animal, e.g. livestock, feed has become almost common practice. These enzymes are usually produced by culturing micro-organisms in large scale fermenters operated by industrial enzyme producers. At the end of the fermentation the resulting "broth" is usually subjected to a series of filtration steps to separate the biomass (the micro-organisms) from the desired enzyme (in solution). Subsequently the enzyme solution is concentrated and processed as a liquid (often after addition of various stabilisers) or to a dry formulation.

20

Liquid and dry enzyme formulations are used on a commercial scale by the feed industry. Liquid enzyme formulations may be added to the feed after pelleting in order to avoid heat inactivation of the enzyme which would occur during the pelleting process. However, the amounts of enzyme in the final feed preparations are usually very small which makes it difficult to achieve a homogeneous distribution of the enzyme in the feed, and liquids are notoriously more difficult to mix evenly into the feed than dry ingredients. In addition one needs specialised (expensive) equipment to add liquids to the feed after pelleting which is not currently available at most feed mills (due to the extra cost).

30

Dry enzyme formulations may be added to the feed before pelleting and therefore are subjected to heat-inactivation during pelleting. Preferred manufacturing protocols in the feed industry involve steam pelleting where the

feed is subjected to steam injection(s) prior to pelleting, a process called conditioning. In the subsequent pelleting step the feed is forced through a die and the resulting strands are cut into suitable pellets of variable length. The moisture content immediately before pelleting is generally between 13% and 16%. During this conditioning process temperature may rise to 60-95°C. The combined effect of high moisture content and high temperature is detrimental to most enzymes. These disadvantages are also encountered in other types of thermomechanical treatments such as extrusion and expansion.

In order to overcome these problems, EP-A-0,257,996 suggests that the stability of enzymes in feed processing could be increased by the preparation of an enzyme "premix" where an enzyme-containing solution is absorbed onto a grain-based carrier consisting of flour, and the premix is subsequently pelleted and dried. However, these flour-based premixes are not suitable for more gentle methods of processing (of the dough-like premix) into granulates, such as low-pressure extrusion or high shear granulation, because of the gluey character of the flour-based premixes.

Various enzyme manufacturers have developed alternative formulation methods to improve the stability of dry enzyme products during pelleting and storage.

EP-A-0,569,468 refers to a formulation consisting of an enzyme-containing granulate that is coated with a high melting wax or fat alleged to improve resistance to pelleting conditions. The granulate is prepared by mixing a dry inorganic (e.g. sodium sulphate) carrier with the enzyme solution in a high shear granulator. As a consequence of the fat coating, the dissolution time of the granulate is long (about one hour). Therefore, the bioavailability of the enzyme to the animal is decreased. In addition, the granulates have a wide particle size distribution. This makes it difficult to obtain an evenly distributed enzyme concentration after coating, since small particles absorb a relatively high amount of coating agent as compared to large particles. EP-A-0,569,468 further teaches that any beneficial effect of the coating with respect to pelleting stability is specific for the type of granulate coated, which in this case is based

on a sodium sulphate carrier. However, the absorption capacity of these (sodium sulphate) carriers is much less than that of carriers such as flour, which is undesirable if one wishes to produce more concentrated enzyme-containing granulates.

5 WO97/39116 discloses formulations consisting of a preformed granule which is capable of absorbing at least 5% water. However, with these formulations a satisfactory solubility rate can only be achieved with very powerful mixing. Such formulation may therefore be effective in the detergent area but not in the intestinal tract of the animal.

10 WO98/54980 discloses enzyme-containing granules containing edible carbohydrates, of which preferably starch. Although these formulations readily dissolve in water and thus ensure a good bioavailability, the pelleting stability of these starch-based granulates is less than those obtained with the fat-coated granulates described above.

15 WO98/55599 demonstrates that high concentration phytase compositions which are cheaper to produce show an increase in stability, especially during a pelleting process in the preparation of animal feed (pellets). However, the pelleting stability of these compositions is also still beyond the stability obtained with the fat-coated granulates described above.

20 There is thus still a need for stable formulations of enzymes for use in animal feed that are cheap to produce, that combine a satisfactory pelleting stability with good bioavailability of the enzyme to the animal, and that have an optimal storage stability.

25 Description of the Invention

The present invention provides a process for the preparation of an enzyme-containing granulate suitable for use in an animal feed, the process comprising processing an enzyme, a solid carrier, optionally additives and water in appropriate relative amounts to obtain enzyme-containing granules, drying
30 the granules, and coating the dried granules with polyethylene glycol.

The advantages of coating the granule with polyethylene glycol are first that this coating provides an efficient protection against the formation of dust. Furthermore, the polyethylene glycol coating is water-dissolvable. Although the dissolution time of the coated granules is increased as compared to the same
5 uncoated granules, it is still much shorter (20 times) than the dissolution time of granules coated with a fat-type coating. A short dissolution time significantly improves the bioavailability of the enzyme to the animal. Finally, a polyethylene glycol coating does provide a good pelleting stability of the granule. Surprisingly, the polyethylene coating provides a good protection against steam
10 and water, although the coating is water-dissolvable.

The polyethylene glycol which is used to coat the granules preferably has an (average) molecular weight of at least 4,000 Dalton. More preferred are polyethylene glycols with a molecular weight of 6,000 to 20,000 Dalton because the melting temperature of such polyethylene glycols is around 60°C.

15 The percentages used throughout this specification refer to weight percentages and are based on the weight of the final dry granulate, (end product) (unless otherwise indicated).

The polyethylene glycol coating is preferably applied at 1 - 20%, more preferably at 5 - 15%, and most preferably at about 8 - 12% of the weight of the
20 granules.

In a preferred embodiment of the invention, polyethylene glycol is dissolved at a concentration of 50% (w/w) in water before it is applied to coat the granules.

Additional coatings may also be applied to the granulate to give
25 additional (e.g. favoured) characteristics or properties, like low dust content, colour, protection of the enzyme from the surrounding environment, different enzyme activities in one granulate or a combination thereof. The granules can further be coated with a fat, wax, polymer, salt, unguent and/or ointment or a coating (e.g. liquid) containing a (second) enzyme or a combination thereof. It
30 will be apparent that if desired several layers of (different) coatings can be applied.

The solid carrier to be used to prepare the granulate according to the present invention is a powder which can be compacted into a granule. The solid carrier to be used preferably has an average particle size ranging between 5 and 20 μm .

5 In a preferred embodiment of the present invention, the solid carrier essentially consists of an edible carbohydrate polymer. The numerous advantages of the use of an edible carbohydrate polymer are presented in patent application WO98/54980.

10 An edible carbohydrate polymer is a carbohydrate polymer which is allowed to be used as a feed additive. The edible carbohydrate polymer should be chosen so that it is edible by the animal for whom the feed is intended, and preferably digestible as well. The polymer preferably comprises hexose polymer units, more preferably glucose polymer units. Most preferably the carbohydrate polymer comprises α -D-glucopyranose units, amylose (a linear (1 \rightarrow 4) α -D-glucan polymer) and/or amylopectin (a branched D-glucan with α -D-(1 \rightarrow 4) and
15 α -D-(1 \rightarrow 6) linkages). Starch is the preferred carbohydrate polymer. Other suitable hexose-containing polymers that can be used instead of, or in addition to starch, include α -glucans, β -glucans, pectin (such as proto-pectin), and glycogen. Derivatives of these carbohydrate polymers, such as ethers and/or
20 esters thereof, are also contemplated. Gelatinised starch is best avoided and thus may not be present. Suitably the carbohydrate polymer is water-insoluble.

In the examples described herein corn-, potato- and rice-starch is used. However, starch obtained from other (e.g. plant, such as vegetable or crop) sources such as tapioca, cassava, wheat, maize, sago, rye, oat, barley, yam,
25 sorghum, or arrowroot is equally applicable. Similarly, both native or modified (e.g. dextrin) types of starch can be used in the invention. Preferably the carbohydrate (e.g. starch) contains little or no protein, preferably less than 5% (w/w), more preferably less than 2% (w/w), most preferably less than 1% (w/w).

In other embodiments of the invention, one or more additional
30 ingredients may be incorporated into the granulate, e.g. as processing aids

and/or for further improvement of the pelleting stability and/or the storage stability of the granulate. A number of such additives are discussed below.

In one embodiment of the invention, the additive comprises a water soluble inorganic salt (as suggested in EP-A-0,758,018). Preferably, the granules comprise at least 0.1% of a water soluble inorganic salt comprising a divalent cation, more preferably zinc. Most preferably, the inorganic salt is zinc-sulphate. The end product preferably contains from 500 - 1,500 mg Zn/kg end product, more preferably 700 - 1,300 mg Zn/kg end product and most preferably 900 - 1,100 mg Zn/kg end product. Divalent cations are preferred because they provide the best storage and processing stability. Sulphate is preferred as anion because it provides the best drying yield. The salts may be added (e.g. to the mixture) in solid form. Alternatively, the salt(s) may be dissolved in the water or enzyme-containing liquid prior to mixing with the solid carrier.

Further improvement of the pelleting stability may be obtained by the incorporation of hydrophobic, gel-forming or slowly dissolving compounds into the formulation. These may be provided by adding at least 0.1%, preferably at least 0.5% and more preferably at least 1% of the desired compound (w/w, based on the weight of water and solid carrier ingredients) to the mixture to be processed to granules. Suitable substances include derivatised celluloses, such as HPMC (hydroxy-propyl-methyl-cellulose), CMC (carboxy-methyl-cellulose), HEC (hydroxy-ethyl-cellulose), polyvinyl alcohols (PVA); and/or edible oils. Edible oils, such as soy oil or canola oil, may be added (e.g. to the mixture to be granulated) as a processing aid.

In still another embodiment of the invention, the formulation comprises trehalose. Preferably, trehalose is applied in a concentration of 0.1 - 2.5% (w/w end product), more preferably in a concentration of 0.25 - 1%, most preferably in a concentration of 0.4 - 0.5%.

In the process of the invention the enzyme and water are preferably provided as an enzyme-containing (preferably aqueous) liquid, such as a solution or a slurry, that is from, or derived from, a microbial fermentation process. This fermentation process will usually be one in which the enzyme is

produced. The fermentation process may result in a broth which contains the microorganisms (which produced the desired enzyme) and an aqueous solution. This aqueous solution, once separated from the microorganisms (for example, by filtration) can be the enzyme-containing aqueous liquid used in the invention. Thus in preferred embodiments the enzyme-containing aqueous liquid is a filtrate. Usually the enzyme will be in an active form. Preferably the liquid is in a concentrated form, such as an ultra-filtrate (UF), which may allow the production of a granulate with a desired activity level.

The amount of enzyme-containing liquid (and so enzyme) that can be absorbed onto the carrier is usually limited by the amount of water that can be absorbed. The enzyme solution may contain about 25% (w/w) dry matter. The amount of water added to the solid carrier is such that (substantially) all the water in the aqueous liquid is absorbed by all the components present in the solid carrier. The use of higher temperatures in order to absorb a greater amount of enzyme-containing liquid is also contemplated by the present invention, and indeed is preferable especially when dealing with thermostable enzymes. For these enzymes therefore mixing of the solid carrier and liquid (or enzyme and water) is performed at a temperature above 30°C, preferably above 40°C and more preferably above 50°C. Alternatively or in addition, the liquid may be provided at this temperature. In general, non-swelling conditions of the solid carrier (at lower temperatures) are preferred to minimise loss arising from instability of (heat sensitive) enzymes at higher temperatures.

The water or enzyme-containing liquid may comprise one or more enzyme(s). Suitable enzyme(s) are feed enzymes to be included in animal feed (including pet food). The function of these feed enzymes is often to improve the feed conversion rate, e.g. by reducing the viscosity or by reducing the anti-nutritional effect of certain feed compounds. Feed enzymes (such as phytase) may also be used to reduce the amount of compounds which are harmful to the environment in the manure.

In a preferred embodiment, granules are prepared according to the process of the invention which contain a high concentration of a feed enzyme.

The advantages of preparing phytase compositions at a high concentration was already described in WO98/55599.

Feed enzymes include: phosphatases, such as phytases (both 3-phytases and 6-phytases) and/or acid phosphatases; carbohydrases, such as amyolytic enzymes and/or plant cell wall degrading enzymes including cellulases such as β -glucanases and/or hemicellulases such as xylanases or galactanases; proteases or peptidases such as lysozyme; galactosidases, pectinases, esterases, lipases, preferably phospholipases such as the mammalian pancreatic phospholipases A2 and glucose oxidase. Preferably, feed enzymes have a neutral and/or acidic pH optimum. More preferably, the feed enzyme at least comprises an enzyme selected from the group consisting of phytases, xylanases, phospholipases and glucose oxidase. Most preferably, the feed enzyme at least comprises an enzyme selected from the group consisting of phytases and xylanases.

If the enzyme is a phytase, then the final granulate may preferably have a phytase activity ranging from 4,000 to 20,000 FTU/g, more preferably from 5,000 to 20,000 FTU/g, most preferably from 5,000 to 15,000 FTU/g. One Phytase Unit (FTU) is thereby defined as the amount of enzyme which liberates 1 mol inorganic phosphate per minute from sodium phytate (0.0051 mol/litre) at 37°C and at a pH of 5.5 under the conditions that phytase activity was determined according to the procedure "ISL-method 61696" (manual vanadate assay).

If the enzyme is a xylanase, then the final granulate may preferably have a xylanase activity ranging from 5,000 to 100,000 EXU/g, more preferably from 10,000 to 100,000 EXU/g, and most preferably from 15,000 to 100,000 EXU/g. One Endo-Xylanase Unit (EXU) is thereby defined as the amount of enzyme which liberates 4.53 μ mol reducing sugars, measured as xylose equivalents, per minute under the conditions of the procedure "ISL-method 61731".

ISL-methods are obtainable on request from DSM, Food Specialties, Agri Ingredients, Wateringseweg 1, P.O. Box 1, 2600 MA, Delft, The Netherlands.

In addition to these feed enzymes, the invention is equally applicable to
5 non-enzymatic polypeptides with biological activities, such as antigenic determinants to be used as vaccines and/or polypeptides engineered to have an increased content of essential amino acids. The biological activity of these non-enzymatic polypeptides may be sensitive to thermal inactivation.

A preferred process according to the invention comprises the steps of:

- 10 a. mixing an aqueous liquid containing the enzyme, a solid carrier essentially consisting of an edible carbohydrate polymer and one or more additive components selected from the group consisting of a gel-forming or slowly dissolving compound such as polyvinyl alcohol, a water soluble inorganic salt comprising a divalent cation, and trehalose;
- 15 b. mechanically processing the mixture simultaneously with or subsequently to the mixing, to obtain a granule;
- c. drying the granule;
- d. coating the granule with polyethylene glycol in a fluid bed coater.

The mechanical processing used in the present invention for preparing
20 and/or granulating the mixture of the enzyme, water (e.g. an enzyme-containing liquid), solid carrier and, optionally, additives comprise known techniques frequently used in food, feed and enzyme formulation processes. This mechanical processing for instance comprises expansion, extrusion, spheronisation, pelleting, high-shear granulation, drum granulation, fluid bed
25 agglomeration or a combination thereof. These processes are usually characterised by an input of mechanical energy, such as the rotation of a screw or a mixing mechanism, the pressure of a rolling mechanism of a pelleting apparatus, the movement of particles by a rotating bottom plate of a fluid bed agglomerator or the movement of the particles by a gas stream, or a
30 combination thereof. These processes allow the solid carrier (e.g. in the form of a powder) to be mixed with the enzyme and water, for example an enzyme-

containing liquid (an aqueous solution or slurry), and subsequently granulated. Alternatively the solid carrier can be mixed with the enzyme (e.g. in a powder form) to which water, such as a liquid (or slurry) is then added (which can act as granulating liquid).

5 In yet a further embodiment of the invention the granulate (e.g. an agglomerate) is formed by spraying or coating the enzyme-containing liquid onto a carrier, such as in a fluid bed agglomerator. Here the resulting granules can include an agglomerate as can be produced in a fluid bed agglomerator. Preferably the mixing of the enzyme-containing liquid and the solid carrier
10 additionally comprises kneading of the mixture. This may improve the plasticity of the mixture in order to facilitate granulation.

If the granulate is formed by extrusion this is preferably performed at low pressure. This may offer the advantage that the temperature of the mixture being extruded will not, or only slightly, increase. Low-pressure extrusion
15 includes extrusion for example in a Fuji Paudal-type of basket- or dome-extruder.

The granules obtained can be subjected to rounding off (e.g. spheronisation), such as in a Marumeriser™, and/or compaction. The granules can be spheronised prior to drying since this may reduce dust formation in the
20 final granulate and/or may facilitate any coating of the granulate.

The granules can then be dried, such as in a fluid bed drier or, in case of the fluid bed agglomeration, can be immediately dried (in the agglomerator) to obtain (solid dry) granulates. Other known methods for drying granules in the food, feed or enzyme industry can be used by the skilled person. Suitably the
25 granulate is flowable. The drying preferably takes place at a product temperature of from 25 to 60°C, preferably from 30 to 50°C. Typically a dried granule contains about 5-9% moist.

To apply the polyethylene glycol and optionally other coating(s) onto the granulates a number of known methods are available which include the use of a
30 fluidised bed, a high shear granulator, a mixer granulator, or a Nauta-type of mixer. In a preferred method for application of the polyethylene glycol onto the

granulate, the polyethylene glycol is sprayed onto a fluidized bed of the granules to be coated at a temperature exceeding the melting point of the polyethylene glycol, e.g. preferably above 60°C. Subsequently the temperature of the fluidized bed is reduced to allow the polyethylene glycol coating to solidify. The coating step may include a simultaneous drying step where polyethylene glycol dissolved in water is applied.

The coating of the granule may already be started during the drying process. Alternatively, coating of the granule may take place subsequent to drying. Preferably, drying and coating are performed in the same apparatus.

Preferably the granules have a relatively narrow size distribution (e.g. they are monodisperse). This can facilitate a homogeneous distribution of the enzyme granulate in the feed pellets. The process of the invention tends to produce granulates with a narrow size distribution. The size distribution of the granulate is suitably between 100 µm and 2,000 µm, preferably between 200 µm and 1,800 µm, more preferably between 400 µm and 1,600 µm and most preferably between 700 and 1,000 µm. The granules may be of irregular (but preferably regular) shape, for example approximately spherical.

If necessary, an additional step may be included in the process to further narrow the size distribution of the granules, such as sieving. For instance, this additional sieving step will select granules having a size distribution between 0.7 and 1 mm.

The enzyme-containing granulate obtainable by these processes (which forms another aspect of the invention) seeks to solve or at least mitigate the problems encountered in the prior art. The dissolution time of the granules is very short (a few minutes) and therefore the bioavailability of the enzyme to the animal is improved as compared to fat-coated granules. The enzyme concentration is higher so that the granule is cheaper to produce and the pelleting and storage stabilities are improved. Finally, the granulate is free of any soap, detergents, bleach or bleaching compounds, zeolites, binders and for that reason is edible and preferably also digestible.

The invention thus provides an enzyme-containing granulate obtainable by the above-mentioned processes and having the following characteristics. The granulate consists of polyethylene glycol-coated granules containing a feed enzyme, a solid carrier and, optionally, one or more additives. Preferably, said
5 additives comprise at least one of a gel-forming or slow dissolving compound such as polyvinyl alcohol, a water soluble inorganic salt and trehalose.

The granulate of the invention is suitable for use in the preparation of an animal feed. In such processes the granulate is mixed with feed substances, as such, as part of a premix or as precursor to an animal feed. The characteristics
10 of the granulate according to the invention allows its use as a component of a mixture which is well suited as an animal feed, especially if the mixture is steam treated, subsequently pelleted and optionally dried.

Thus, a further aspect of the present invention relates to a process for the preparation of animal feed, or a premix or precursor to an animal feed, the
15 process comprising mixing the granulate provided by the present invention with one or more animal feed substances or ingredients.

The present invention also relates to a process for promoting the growth of an animal, the process comprising feeding an animal a diet that comprises the granulate provided by the invention. Here, the animal diet can include either
20 the granulate itself, or the granulate present in a feed. Suitable animals include farm animals, such as livestock, pigs and poultry.

Another aspect of the invention thus relates to a composition comprising the granulate of the invention, which composition is preferably an edible feed composition such as an animal feed.

25 Still another aspect of the present invention relates to the use of the granulate of the invention in, or as a component of, an animal feed or for use in an animal diet.

Preferred features and characteristics of one aspect of the invention are equally applicable to another *mutatis mutandis*.

30

The following Examples are presented merely to illustrate the invention, and are not intended, or to be construed as, being limiting.

Examples

5

General methods

Conditioning step:

50 grams granules are mixed in 10 kg feed of the premix of choice and mixed
10 just before the test with 240 kg of the same recipe. This 250 kg mixture is dosed
in a mixer/conditioner by a dosing screw, at a speed of 600 kg/h, where it is
heated by direct steam till 55 or 80°C. The residence time is about 10-15
seconds and then the hot mixture is pushed into the pelleting press. The pellets
which come out of the die are between 75 and 82°C and fall on a cooling belt.
15 From this belt, samples are taken for the stability measurement.

Example 1: Pelleting stability of phytase in pig feed

In a Glatt VG 25 mixer 3000 grams of corn starch (C-gel from Cerestar) was
mixed with 1380 grams of phytase Ultra-Filtrate (UF) with a pure enzyme
20 content of 18.0%. After mixing, the mixture was extruded with a NICA E-220
extruder and spheronised in a Fuji Paudal QJ-400G spheroniser. The obtained
particles were dried in a Glatt GPCG 1,1 fluid bed dryer (A). A second batch (B)
was made by adding 70 grams of glycerol to 1420 grams of UF, which
correspond with 27% glycerol to the pure enzyme, and mixed with the starch. A
25 third batch (C) was made by adding 210 grams of sorbitol to 1490 grams of UF,
which correspond with 78% sorbitol to the pure enzyme, and mixed with the
starch. A fourth batch (D) was made by adding 210 grams of inositol to 1490
grams of UF, which correspond with 78% inositol to the pure enzyme, and
mixed with the starch. The competitive sample is the high speed granulate
30 Phytase Novo® CT.

Table 1: Residual phytase activity in pig feed in % after conditioning / pelleting at 55/75°C.

Sample no. with addition	Residual activity in %
A standard	71
B glycerol	58
C sorbitol	69
D inositol	73
Competitive sample	70

Polyols are generally known to increase the stability of proteins. In this Example we observed however that none of the polyols tested significantly improved the pelleting stability of phytase. Glycerol even produced a significant decrease in the pelleting stability of phytase.

The pig feed (feed premix) used for pelleting stability consisted of:
Corn (20.7%), barley (40%), manioc (10%), oat (10%), soy (13%), fish meal (3%), wheat middlings (0.84 %), soy oil (0.5%), limestone (1.2%), salt (0.2%)
micro elements (0.06%), methionine (0.05%), choline chloride at 50 % (0.05%),
and Calcium propionate (0.4%), up to a total of 100%.

Example 2: Pelleting stability of phytase in broiler feed

In the same way as in Example 1 the following samples were made with a phytase UF with a pure enzyme content of 18.4%. The first batch (E) was made with 1300 grams phytase UF in the starch. The second batch (F) was made by adding 13 grams of xanthan gum to 1310 grams of UF, which correspond with 5.4% xanthan gum to the pure enzyme, and mixed with the starch.

Table 2: Residual phytase activity in broiler feed in % after conditioning / pelleting at 80/82°C.

Sample no. with addition	Residual activity in %
E standard	21
F xanthan gum	20

- 5 Again no improvement of the pelleting stability of phytase was observed.

The broiler feed consisted of:

- Maize (50%), peas (3.5%), soybean meal (28%), tapioca (2.4%), meat meal (3.6%), fish meal (1%), feather meal (1%), soybean oil (1.8%), animal fat
10 (3.5%), vitamin/mineral premix (0.9%), limestone (0.8%), mono-calcium-phosphate (0.9%), salt (0.3%), Mervit (Premervo, Utrecht, The Netherlands) 394 (0.7%), Mervit 393 (1.5%), up to a total of 100%.

Example 3: Pelleting stability of phytase in broiler feed

- 15 In the same way as in Example 1 the following samples were made with a phytase UF with a pure enzyme content of 17.3%. The first batch (G) was made with 1470 grams phytase UF in the starch. The second batch (H) was made by adding 15 grams of trehalose (di-hydrate from Fluka) to 1480 grams of UF, which correspond with 5.9% trehalose to the pure enzyme, and mixed with the
20 starch.

Table 3: Residual phytase activity in broiler feed in % after conditioning / pelleting at 80/82°C.

Sample no. with addition	Residual activity in %
G standard	36
H trehalose	45
Competetive sample, high speed granulate	64

- 25 Surprisingly, the addition of the polyol trehalose does improve the pelleting stability of the enzyme.

Example 4: Pelleting stability of phytase in broiler feed

In the same way as in Example 1 the following samples were made with a phytase UF with a pure enzyme content of 18.6%. The first batch (I) was made with 1330 grams phytase UF in the starch. The second batch (J) was made by adding 27 grams of trehalose to 1330 grams of UF, which correspond with 10.9% trehalose to the pure enzyme, and mixed with the starch. The third batch (K) was made by adding 66 grams of trehalose to 1330 grams of UF, which correspond with 26.7% trehalose to the pure enzyme, and mixed with the starch. The fourth batch (L) was made by adding 13 grams of trehalose, 13 grams of PVA (51-05 from Dupont) and 13 grams of $\text{ZnSO}_4 \cdot 7\text{aq}$ to 1330 grams of UF, which correspond with 5.3% of the trehalose and the PVA, and 2.8% of the dry salt to the pure enzyme, and mixed with the starch. This latter sample was coated in a fluid bed coater with 10% PEG 6000 by heating up the granulate mixed with the PEG till 62°C and cooled down again (M). Similarly, a sample of batch (L) was coated with 10% PEG 20,000 (diluted in water 1:2 in order to reduce viscosity) by spraying onto the granulate in a fluid bed coater at 62°C, dried and subsequently cooled (N).

Table 4: Residual phytase activity in broiler feed in % after conditioning / pelleting at 81/80°C.

Sample no. with addition	Residual activity in %
I standard	28
J 2% trehalose	28
K 5% trehalose	34
L 1% ZnSO ₄ -1% PVA-1% trehalose	40
M as L with PEG 6000 coating	42
N as L with PEG 20,000 coating	52

The highest pelleting stability of the enzyme is obtained with PEG-coated granules containing trehalose, ZnSO₄ and PVA.

Example 5: Pelleting stability of phytase in broiler feed

In the same way as in Example 1 the following samples were made with a phytase UF with a pure enzyme content of 18.0%. The first batch (O) was made with 1368 grams of phytase UF with 14 grams of ZnSO₄.6aq and 14 grams PVA 5/88 (from ERKOL SA.) , which corresponds to 3.0% of the dry salt and 5.3% of the PVA to the pure enzyme. The second batch (P) was made in a similar way, but with 14 grams trehalose extra in the recipe (5.3% of trehalose to the pure enzyme). Both recipes were coated in a fluid bed coater (STREA from NIRO-AEROMATIC) with 10% PEG 6000, dissolved in the same amount of water, resulting in samples Q and R. The four samples were tested in a pelleting trial.

Table 5: Residual phytase activity in broiler feed in % after conditioning / pelleting at 80/80°C.

Sample no. with addition	Residual activity in %
O product with ZnSO ₄ and PVA	32
P as O with 5.3% trehalose	32
Q as O with 10% PEG 6000 coating	44
R as P with 10% PEG 6000 coating	44

The polyethylene glycol coating significantly improved the pelleting stability of the samples.

Example 6: Dissolution time of phytase-containing granules

Several of the samples prepared in the previous Examples were dissolved in buffer and samples were taken at regular intervals. The dissolution time of the granules was not or only slightly increased as a consequence of the PEG coating.

Table 6: Dissolution time expressed as % dissolved after x minutes.

Sample	1 min.	2 min.	5 min.	10 min.	15 min.	30 min.	60 min.
L	100	100	98	99	100	99	100
M	98	99	100	98	99	99	100
N	82	96	99	100	99	100	99
HS gran	4	6	20	30	38	72	95

HS gran is Phytase Novo® CT

Example 7: Stability of phytase-containing granules

For the analysis of the shelf-stability of the granules, several samples were tested at 35°C in closed vials.

Table 7: Shelf-stability of different samples.

Sample	0 weeks	2 weeks	4 weeks	8 weeks	12 weeks	16 weeks
A	100	87	73	69	67	65
B	100	87	77	67	--	--
C	100	84	64	58	--	--
D	100	87	72	62	--	--
G	100	87	82	78	--	--
H	100	88	80	76	--	--
I	100	87	78	76	70	69
J	100	86	78	77	69	69
K	100	88	81	74	70	69
L	100	97	93	96	87	86
M	100	94	93	92	90	90

Example 8: Bioavailability of phytase using different formulations

The following phytase formulations and Mono Calcium Phosphate (MCP) were added to a standard corn/soy broiler feed at three different doses (75, 150 and

225 FTU/kg) and fed to broilers during 28 days. The broiler feed also contained endogenous phytase activity.

* Natuphos® 5000 G, (standard product)

5 Composition:

	• Phytase UF	94.49 kg
	• Trehalose	0.91 kg
	• Polyvinylalcohol	0.91 kg
	• ZnSO ₄ .6H ₂ O	0.97 kg
10	• Starch	200.00 kg
	• Addition of water	7.11 kg
	Total weight	304.39 kg
	Total weight dried product	215.02 kg

15 * Natuphos® 5000 G, (PEG coated)

Composition:

	• Phytase SD	11.54 kg
	• Phytase UF	99.88 kg
	• Trehalose	1.14 kg
20	• Polyvinylalcohol	1.14 kg
	• ZnSO ₄ .6H ₂ O	1.14 kg
	• Starch	200.00 kg
	• Addition of water	7.10 kg
	Total weight	306.85 kg
25	Total weight dried product	228.82 kg
	Coated with 10% 1/1/2 solution PEG6000/PEG20000/H ₂ O (Phytase SD: Phytase Spraydried Powder)	

* Phytase Novo® CT, competitor product, fat-coated

* MCP: positive control groups

30

After 28 days the growth of the animals was determined (Table 8).

Regression analysis was applied to enable comparison of the growth per phytase formulation (Table 9), whereby the growth was calculated as follows:

Growth = intercept + regression coefficient * phytase activity (FTU/kg).

35

Table 8: Growth of broilers after 28 days

	Product	Activity (FTU/kg ¹)	Growth (g)
5	Control	82	1292
	MCP (0.2 g P / kg)	86	1459
	MCP (0.4 g P / kg)	115	1581
10	MCP (0.6 g P / kg)	116	1674
	NPHG 518 RE1	185	1433
	NPHG 518 RE1	281	1456
	NPHG 518 RE1	393	1528
15	NPHG 518 RE2A	208	1452
	NPHG 518 RE2A	375	1475
	NPHG 518 RE2A	416	1629
20	Phytase Novo CT	182	1331
	Phytase Novo CT	274	1481
	Phytase Novo CT	401	1458

¹: determined phytase activity.

25

Table 9:

	Intercept:1302		
		Estimate	%
30	MCP	-	
	NPHG 518 RE1 (ZnSO ₄)	0.583	100
	NPHG 518 RE2 (ZnSO ₄ +PEG)	0.649	111
	Phytase Novo CT	0.434	74

35

It can be concluded that the bioavailability of phytase in the formulation coated with polyethylene glycol is much higher than that of phytase in the formulation containing a fat-coating.

Claims

1. A process for the preparation of an enzyme-containing granulate suitable for use in an animal feed, the process comprising processing a feed enzyme, a solid carrier, water, and optionally additives in appropriate amounts to obtain enzyme-containing granules, drying the granules and coating the dried granules with polyethylene glycol.
2. A process according to claim 1, wherein the polyethylene glycol has a molecular weight ranging from 4,000 to 20,000 Dalton, preferably from 6,000 to 20,000 Dalton.
3. A process according to claim 2, wherein the polyethylene glycol is applied at 1 - 20%, more preferably at 5 - 15% and most preferably at 8 - 12% of the weight of the granules.
4. A process according to claim 3, wherein the polyethylene glycol used to coat the granules is first dissolved in water at a concentration of 50% (w/w).
5. The process according to any one of claims 1 to 4, wherein the water and enzyme are provided as an enzyme-containing aqueous liquid.
6. The process according to claim 5, wherein the liquid is a filtrate from a fermentation process resulting in production of the enzyme.
7. The process according to any one of claims 1 to 6, wherein the solid carrier essentially consists of an edible carbohydrate polymer.
8. The process according to any one of claims 1 to 7, wherein the additive comprises at least 0.1% of a polyvinyl alcohol.

9. The process according to any one of claims 1 to 8, wherein the additive comprises at least 0.1% of a water soluble inorganic salt comprising a divalent cation.

5 10. The process according to claim 9, wherein the inorganic salt is zincsulfate, preferably such as to have 500 to 1500, more preferably 700 to 1,300 and most preferably 900 to 1,100 mg Zn/kg end product.

10 11. The process according to any one of claims 1 to 10, wherein the additive comprises at least 0.1% (w/w) of trehalose.

12. A process according to any one of claims 1 to 11, wherein the feed enzyme at least comprises an enzyme selected from the group consisting of phytases, xylanases, phospholipases and glucose oxidase, preferably selected
15 from the group consisting of phytases and xylanases.

13. The process according to claim 12, wherein the granule will have phytase activity ranging from 4,000 to 20,000 FTU/g, preferably from 5,000 to 20,000 FTU/g and more preferably from 5,000 to 15,000 FTU/g.

20

14. The process according to any one of claims 1 to 13, wherein the process comprises the steps of :

(a) mixing an aqueous liquid containing the enzyme with the solid carrier, and with one or more additives comprising a polyvinyl alcohol, a water soluble
25 inorganic salt comprising a divalent cation, and trehalose;

(b) mechanically processing the mixture obtained in (a), simultaneously with or subsequently to the mixing, to obtain granules.

(c) drying the granules obtained in (b).

(d) coating the granules obtained in (c) with polyethylene glycol in a fluid
30 bed coater.

15. The process according to claim 14, wherein the mechanical processing comprises extrusion, pelleting, high-shear granulation, expansion, fluid bed agglomeration or a combination thereof.
- 5 16. The process according to claim 15, wherein the mechanical processing is extrusion performed at low pressure and/or in a basket- or dome- extruder.
17. The process according to any one of claims 14 to 16, wherein an enzyme-containing aqueous liquid and the solid carrier are mixed and the
10 resulting mixture is kneaded before granulation.
18. The process according to any one of claims 14 to 17, wherein the granules obtained are spheronised prior to drying.
- 15 19. The process according to any one of claims 1 to 18, wherein the size distribution of the granules ranges from 100 to 2,000 μm , preferably from 200 to 1,800 μm , more preferably from 400 to 1,600 μm and most preferably from 700 to 1,000 μm .
- 20 20. An enzyme-containing granulate coated with polyethylene glycol obtainable by a process according to any one of claims 1 to 19.
21. A process for the preparation of an animal feed, or a premix or precursor to an animal feed, the process comprising mixing a granulate according to claim
25 20 with one or more animal feed substances or ingredients.
22. The process according to claim 21, wherein the mixture of feed substance(s) and granulate is treated with steam, pelletised and optionally dried.
- 30 23. A feed composition comprising a granulate according to claim 20.

24. A process for promoting the growth of an animal, the process comprising feeding an animal a diet that comprises either a granulate according to claim 20 or a composition according to claim 23.

5

25. Use of a granulate according to claim 20 in, or as a component of, an animal feed or for use in an animal diet.

INTERNATIONAL SEARCH REPORT

Inter Application No

PCT/EP 00/01154

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A23K1/00 A23K1/16 C12N9/98

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A23K C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, CAB Data, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	WO 99 51210 A (GENENCOR INT) 14 October 1999 (1999-10-14) page 4, line 30 - line 36 examples 1-4 claims 1,4-7,20,21 ---	1-3,7, 20,23-25
P,X	WO 99 55310 A (MARGOLIN ALEXEY L ;RAKESTRAW SCOTT L (US); KHALAF NAZER K (US); SH) 4 November 1999 (1999-11-04) claims 1,7-10,15,16,33,50,78,82,103 ---	1,11
X	GB 2 167 758 A (SHOWA DENKO KK) 4 June 1986 (1986-06-04) page 2, line 9 - line 85 claims 1,3,6,9,10,12,15,18 ---	1,8,9, 20,23-25
Y	---	11,14, 20-25
	--- -/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

16 June 2000

Date of mailing of the international search report

28/06/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Dekeirel, M

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/01154

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	PATENT ABSTRACTS OF JAPAN vol. 018, no. 582 (C-1270), 8 November 1994 (1994-11-08) -& JP 06 217716 A (MORISHITA ROUSSEL KK;OTHERS: 01), 9 August 1994 (1994-08-09) abstract ----	11,14
Y	DATABASE WPI Section Ch, Week 199809 Derwent Publications Ltd., London, GB; Class A25, AN 1998-101045 XP002140307 & WO 98 01544 A (KAO CORP), 15 January 1998 (1998-01-15) abstract ----	20-25
A	WO 97 23606 A (GENENCOR INT) 3 July 1997 (1997-07-03) page 1, line 8 - line 10 claims 1,5 ----	1,20
A	FR 2 678 144 A (RHONE POULENC NUTRITION ANIMAL) 31 December 1992 (1992-12-31) claims 1,2,5 ----	1,20
A	EP 0 501 375 A (KAO CORP) 2 September 1992 (1992-09-02) page 2, line 29 - line 31 page 2, line 44 - line 48 page 4, line 32 - line 47 page 5, line 3 - line 7 claims 1,2,4 ----	1
A	EP 0 628 630 A (HAYASHIBARA BIOCHEM LAB) 14 December 1994 (1994-12-14) page 12, line 15 - line 43 page 36, line 44 -page 37, line 3 -----	1

INTERNATIONAL SEARCH REPORT

information on patent family members

Int. Application No

PCT/EP 00/01154

Patent document cited in search report		Publication date	Pat nt family member(s)	Publication date
WO 9951210	A	14-10-1999	AU 3637599 A	25-10-1999
WO 9955310	A	04-11-1999	AU 3764699 A	16-11-1999
GB 2167758	A	04-06-1986	JP 1830119 C	15-03-1994
			JP 61092570 A	10-05-1986
			AU 579410 B	24-11-1988
			AU 4850885 A	17-04-1986
			CA 1242663 A	04-10-1988
			US 4740469 A	26-04-1988
JP 06217716	A	09-08-1994	NONE	
WO 9801544	A	15-01-1998	JP 10023888 A	27-01-1998
WO 9723606	A	03-07-1997	US 5879920 A	09-03-1999
			AU 1347097 A	17-07-1997
			CA 2239335 A	03-07-1997
			EP 0870017 A	14-10-1998
FR 2678144	A	31-12-1992	NONE	
EP 0501375	A	02-09-1992	JP 4271785 A	28-09-1992
EP 0628630	A	14-12-1994	JP 7213283 A	15-08-1995
			AT 165865 T	15-05-1998
			AU 686141 B	05-02-1998
			AU 6349994 A	08-12-1994
			CN 1107888 A	06-09-1995
			DE 69410012 D	10-06-1998
			DE 69410012 T	29-10-1998
			DK 628630 T	02-06-1998
			ES 2116532 T	16-07-1998
			US 5472863 A	05-12-1995
			US 5591612 A	07-01-1997
			US 5591611 A	07-01-1997

THIS PAGE BLANK (USPTO)